

**THIS PAGE IS INSERTED BY OIPE SCANNING
AND IS NOT PART OF THE OFFICIAL RECORD**

Best Available Images

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

BLACK BORDERS

TEXT CUT OFF AT TOP, BOTTOM OR SIDES

FADED TEXT

BLURRY OR ILLEGIBLE TEXT

SKEWED/SLANTED IMAGES

COLORED PHOTOS HAVE BEEN RENDERED INTO BLACK AND WHITE

VERY DARK BLACK AND WHITE PHOTOS

UNDECIPHERABLE GRAY SCALE DOCUMENTS

**IMAGES ARE THE BEST AVAILABLE
COPY. AS RESCANNING *WILL NOT*
CORRECT IMAGES, PLEASE DO NOT
REPORT THE IMAGES TO THE
PROBLEM IMAGE BOX.**



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁷: H01S 5/34, H01L 33/00	A1	(11) International Publication Number: WO 00/38287 (43) International Publication Date: 29 June 2000 (29.06.00)
(21) International Application Number: PCT/US99/26496 (22) International Filing Date: 10 November 1999 (10.11.99) (30) Priority Data: 09/217,223 21 December 1998 (21.12.98) US (71) Applicant: HONEYWELL INC. [US/US]; Honeywell Plaza, Minneapolis, MN 55408 (US). (72) Inventor: JOHNSON, Ralph, H.; 211 Ridgeview, Murphy, TX 75094 (US). (74) Agent: FREDRICK, Kris, T.; Honeywell Inc., Hoveywell Plaza - MN12-8251, P.O. Box 524, Minneapolis, MN 55440-0524 (US).		(81) Designated States: JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i>
(54) Title: MECHANICAL STABILIZATION OF LATTICE MISMATCHED QUANTUM WELLS		
<div style="text-align: center;"> </div>		
(57) Abstract <p>In order to achieve a long wavelength, 1.3 micron or above, VCSEL or other semiconductor laser, layers of strained quantum well material are supported by mechanical stabilizers which are nearly lattice matched with the GaAs substrate, or lattice mismatched in the opposite direction from the quantum well material; to allow the use of ordinary deposition materials and procedures. By interspersing thin, unstrained layers of e.g. gallium arsenide in the quantum well between the strained layers of e.g. InGaAs, the GaAs layers act as mechanical stabilizers keeping the InGaAs layers thin enough to prevent lattice relaxation of the InGaAs quantum well material. Through selection of the thickness and width of the mechanical stabilizers and strained quantum well layers in the quantum well, 1.3 micron and above wavelength lasing is achieved with use of high efficiency AlGaAs mirrors and standard gallium arsenide substrates.</p>		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

**MECHANICAL STABILIZATION
OF LATTICE MISMATCHED QUANTUM WELLS
BACKGROUND OF THE INVENTION
FIELD OF THE INVENTION**

5

This invention relates to vertical cavity surface emitting lasers. The invention relates specifically to longer wavelength VCSELs such as 1.3 micrometer, or micron, (μm) wavelengths which can be made with ordinary MOCVD equipment or MBE equipment. In general it relates to obtaining light emission at wavelengths not normally obtainable with a given material system because of lattice mismatch.

10

DESCRIPTION OF THE RELATED ART

Vertical cavity surface emitting lasers (VCSEL) made with GaAs are known in the art which emit light in the 850 nanometer range. Because the quantum well for the short wavelength 850 nanometer VCSELs is made from GaAs (the same material as the substrate) the various epitaxially deposited layers, whose thickness is related to wavelength, are able to maintain the minimal mechanical strain without mechanical relaxation. However, if one were to use InGaAs in the active region at the larger 1.3 micron wavelengths, the lattice mismatch is so large the layers would tend to relax their strains and suffer dislocations, slip lines or island growth which would interfere with proper lasing.

15

20

In order to go to the proper bandgap for a 1.3 μm wavelength VCSEL one must use InGaAs or GaAsSb or some combination thereof instead of GaAs in the active layer. However, indiumgalliumarsenide and galliumarsenideantimonide are not the same lattice constant as GaAs at the compositions useful for 1.3 micron lasers. This makes it very difficult to build a proper quantum well structure.

25

It is therefore very desirable to come up with a quantum well (i.e. the active layer and the barrier layers surrounding it) which makes use of common GaAs, InGaAs or GaAsSb materials in construction of the 1.3 micron wavelength VCSEL.

30

SUMMARY OF THE INVENTION

The present invention extends the use of nonlattice matched quantum wells by extending the composition range over which they are mechanically stable. This is done

-2-

by introducing thin regions, or mechanical stabilizers in the quantum well region, with the same lattice constant as the substrate while using thin layers of a semiconductor alloy of a different lattice constant in the quantum well structure. Alternatively, the lattice constant of the mechanical stabilizers may be nearly, eg. about $\pm 2\%$, the same as that of the substrate, or mismatched in the opposite direction of the remainder of the quantum well material. The mechanical stabilizers are thin enough that their effect on the quantum well energy levels is small enough to be conveniently compensated for by modifying the composition, i.e. the indium to gallium ratio of the InGaAs layers or the arsenic to antimony ratio of GaAsSb or a combination of the above in InGaAsSb. A series of mechanical stabilizers is created within the quantum well structure. The effective quantum well energy level is that from the whole series of quantum well structures with mechanical stabilization layers therein. The effective quantum well energy level is modified only slightly by the presence of the mechanical stabilizers.

The mechanical stability is guaranteed by keeping the strained quantum well material between the stabilizers about or below the critical thickness as defined by Matthews and Blakeslee for nonlattice matched crystal growth. See for example p. 374 of 'Quantum Well Lasers,' Peter Zory, Academic Press 1993 for an interpretation of different critical thickness models including Matthews and Blakeslee. The mechanical stabilizers are unstrained since they are the same lattice constant as the substrate. The present invention may be generally used, but specifically applies to GaAs substrates; InGaAs, GaAsSb, or InGaAsSb quantum wells and GaAs mechanical stabilizers, or combinations thereof.

With the use of the mechanical stabilizers of the present invention active layer structures of the VCSEL may be built from common InGaAs or GaAsSb and GaAs materials used with ordinary MOCVD deposition equipment at layer thicknesses suitable for 1.3 micron wavelength emission without relaxation of mechanical strain; leading to reliable lasing in this wavelength with the use of common deposition methods and materials.

BRIEF DESCRIPTION OF THE DRAWINGS

The present invention will be more fully and completely understood from a reading of the Description of the Preferred Embodiment in conjunction with the drawings, in which:

Figure 1 is a schematic representation of a VCSEL according to the present invention.

Figure 2 is a schematic illustration of InGaAs lattice relaxation on a GaAs substrate.

5 Figure 3 is a schematic view of the energy bands versus depth of a active area portion of a 1.3 micron VCSEL according to the present invention.

Figure 4 is a schematic view of an alternative quantum well structure according to the present invention.

10 Figure 5 is a schematic representation of the mechanical energy within the mechanically stabilized InGaAs quantum well using the GaAs stabilization layers.

DESCRIPTION OF THE PREFERRED EMBODIMENT

Throughout the Description of the Preferred Embodiment, like components will be identified by like reference numerals.

15 As seen in Figure 1, a VCSEL 11 has, as viewed from the bottom up, a metal contact layer 13 adjacent and a first conductivity type, in this case N type, substrate 15 upon which is deposited an N type mirror stack 17. The active region 19 is adjacent the N type mirror stack and is comprised of GaAs barrier layers and InGaAs quantum well layer as further explained below. On top of the active layer, 19 is deposited a second
20 conductivity type, in this case P type, mirror stack 21 upon which is deposited a P metal contact layer 23. A current blocking region 24, as known in the art is disposed in the P type mirror stack 21.

25 Although structures detailed in the preferred embodiment, except the active layer, are of ordinary construction; other structures or layers not detailed herein but known to those having ordinary skill in the art may of course be added to the structures presented herein.

30 As discussed above, there are certain problems with maintaining mechanical stress in long wavelength VCSEL layers necessary for 1.3 micron emission; when attempting to use GaAs substrates with InGaAs quantum well layers, and AlGaAs mirrors, i.e. common materials deposited through the use of common MOCVD equipment.

As seen in Figure 2, a schematic representation of a GaAs layer 25 upon which is deposited an InGaAs layer 27, because these two materials have different lattice constants, when one attempts to deposit too thick of a layer of InGaAs upon the GaAs

layer beneath it, or substrate, at a certain point the mechanical strain of the InGaAs will relax, as at 29, causing a dislocation, slip line, or damage point which will negate or interfere with proper lasing activity. Unfortunately, a certain thickness must be maintained in order to obtain the proper energy levels to produce the longer wavelength lasing, i.e. 1.3 micron. Thus the InGaAs layers must be made thinner.

As shown in Figure 3, an energy versus position plot, a twohundredtwentyfive angstrom quantum well 33 is composed of InGaAs and surrounded on either side by barrier layers 31 composed of GaAs. Within the quantum well structure 33 are located six substantially equidistant, 9.5Å thick, gallium arsenide spacer layers 37 surrounded by seven InGaAs layers 39 of approximately 24Å thickness. A wavefunction line 30 and minimum allowable energy line 20 for the active region 19 are included in the plot. There may be other arrangements of GaAs spacer layers, such as two or four layers within the quantum wells, and it is probable that the InGaAs and GaAs layer widths will have to be multiples of the lattice constant. Thus the thickness of the quantum well may change slightly to achieve optimal lasing performance.

It will be noted that the mechanically stabilized quantum wave functions extend into the GaAs barrier layers 31. The dimensions are selected such that the lattice strain of the mechanically reinforced InGaAs layers 39 causes band splitting that modifies the InGaAs bandgap. The GaAs mechanical stabilizer layer thicknesses, the InGaAs layer thicknesses, the InGaAs composition and the total well thickness, or width, will determine the position of the quantum levels 19 relative to the band edge. However, it is believed that the dimensions shown are close approximations to desirable for indium .7 gallium .3 arsenide composition of the InGaAs layer.

As shown in Figure 4, alternative forms of a quantum well may be constructed according to the present invention. The quantum well 35 may be about twohundred angstroms wide with a superlattice of equidistant stabilization layers of 11.2 angstrom GaAs substrate material surrounded by InAs semiconductor alloy layers 49 of each about 12angstroms.

The mechanical stabilization layered quantum wells according to the present invention are to be constructed using ordinarily known etching and deposition techniques for standard MOCVD equipment.

The quantum wells of the present invention are surrounded by GaAs barrier layers upon which it is suitable to deposit high efficiency AlGaAs mirrors whose lattice

constant matches that of the GaAs barrier layers. A mechanical energy graph representation line 41 is shown in Figure 5 to illustrate that the strain is kept on the InGaAs layer at a level above that of the GaAs mechanical stabilizers 37 which is in an unstrained state due to lattice constant matching. During the growth process the strained epitaxial layer follows the lattice constant of the substrate until it passes the critical thickness. At this thickness instead of maintaining the strain it is relaxed with dislocations. By keeping the thickness under the critical thickness the layers do not relax and form dislocations. The GaAs mechanical stabilizers are not strained because they follow the lattice constant of the substrate. Growing the following InGaAs layer on the GaAs mechanical stabilizer is identical to growing it on the substrate.

Thus by following the teachings of the present invention a 1.3 micron wavelength VCSEL may be manufactured utilizing quantum wells of InGaAs, or other semiconductor compounds, with gallium arsenide mechanical stabilization layers in order to keep the semiconductor layers thin enough to maintain mechanical strain while utilizing common AlGaAs mirror structures.

I Claim:

1. A semiconductor light emitting device having an active layer;
 - a) the active layer having a quantum well;
 - 5 b) the quantum well having layers of a semiconductor alloy under mechanical stress interspersed with thin layers of a substrate type material used in the device;
 - c) the substrate type material layers serving as mechanical stabilizers for the semiconductor alloy layers to prevent the semiconductor alloy layers from relaxing.
- 10 2. A semiconductor light emitting device according to claim 1 wherein the device is one of a vertical cavity laser, an edge emitting laser, or a light emitting diode.
3. A semiconductor light emitting device having an active layer;
 - a) the active layer having a quantum well,
 - 15 b) the quantum well having layers of a semiconductor alloy under mechanical stress interspersed with thin layers of a stabilizing material nearly lattice matched to a substrate type material used in the device;
 - c) the nearly lattice matched stabilizing material layers serving as mechanical stabilizers for the semiconductor alloy layers to prevent the semiconductor alloy layers
 - 20 under mechanical stress from relaxing.
4. A semiconductor light emitting device according to claim 3 wherein the device is one of a vertical cavity laser, an edge emitting laser, or a light emitting diode.
- 25 5. A semiconductor light emitting device having an active layer;
 - a) the active layer having a quantum well,
 - b) the quantum well having layers of a semiconductor alloy under mechanical stress interspersed with thin layers of a stabilizing material,
 - c) the device having a substrate type material being lattice mismatched to the
 - 30 semiconductor alloy in a first direction, and lattice mismatched to the stabilizing material in the opposite the direction ;
 - d) the lattice mismatched stabilizing material layers serving as mechanical stabilizers for the semiconductor alloy layers to prevent the semiconductor alloy layers under mechanical stress from relaxing.

-7-

6. A semiconductor light emitting device according to claim 5 wherein the device is one of a vertical cavity laser, an edge emitting laser, or a light emitting diode.
- 5 7. A semiconductor laser comprising:
- (a) a first conductivity type metal contact layer;
 - (b) a first substrate type of a first conductivity type material, a first surface of which is contacting a first surface of the first metal contact layer;
 - (c) a first mirror stack whose composition is compatible with the first substrate type; the first mirror stack being adjacent a second surface of the first substrate;
 - 10 (d) a second mirror stack of a second conductivity type ;
 - (e) a second conductivity type metal contact layer adjacent said second mirror stack;
 - (f) an active layer between said first and second mirror stacks having a
 - 15 quantum well region therein, the quantum well having layers of a semiconductor alloy under mechanical stress interspersed with thin layers of a stabilizing material serving as mechanical stabilizers for the semiconductor alloy layers to prevent the second semiconductor alloy layers under mechanical stress from relaxing.
- 20 8. The device of claim 7 wherein the stabilizing material is lattice matched to the first substrate type material and is the 1st substrate type material.
9. The device of claim 7 wherein stabilizing material is lattice matched to the first substrate type material and is a different material than the 1st substrate type material.
- 25 10. The device of claim 7 wherein stabilizing material is lattice mismatched to the 1st substrate type material.
11. The semiconductor laser according to claim 8:
- 30 wherein the first substrate comprises GaAs.
12. The semiconductor laser according to claim 8:
- wherein the mirror stacks comprise AlGaAs.

-8-

13. The semiconductor laser according to claim 8:
wherein the semiconductor alloy is InGaAs.
14. The semiconductor laser according to claim 8:
5 wherein there are a plurality of quantum wells in the active layer.
15. The semiconductor laser according to claim 8:
wherein the quantum well stabilizing first substrate type material is GaAs.
- 10 16. The semiconductor laser according to claim 15:
wherein the quantum well semiconductor alloy material is one of InGaAs,
GaAsSb, or InGaAsSb.
- 15 17. The semiconductor laser according to claim 7:
wherein the quantum well semiconductor alloy material is one of InGaAs,
GaAsSb, or InGaAsSb.
18. The semiconductor laser according to claim 7:
wherein the quantum well mechanical stabilizer layers are about 10Å thick.
- 20 19. The semiconductor laser according to claim 7:
wherein the quantum wells are about 80 Å - 250Å thick.
20. The semiconductor laser according to claim 7:
25 wherein the quantum well mechanical stabilizer layers are about 9.5Å thick.
21. The semiconductor laser according to claim 7:
wherein the quantum wells are about 225Å thick.
- 30 22. The semiconductor laser according to claim 7:
wherein the alloy layers are about 24Å thick.

23. The semiconductor laser according to claim 7:
wherein the quantum well mechanical stabilizer layers are about 11.2Å thick.
24. The semiconductor laser according to claim 7:
5 wherein the quantum wells are about 200Å thick.
25. The semiconductor laser according to claim 7:
wherein the quantum wells are about 12Å thick.

1/3

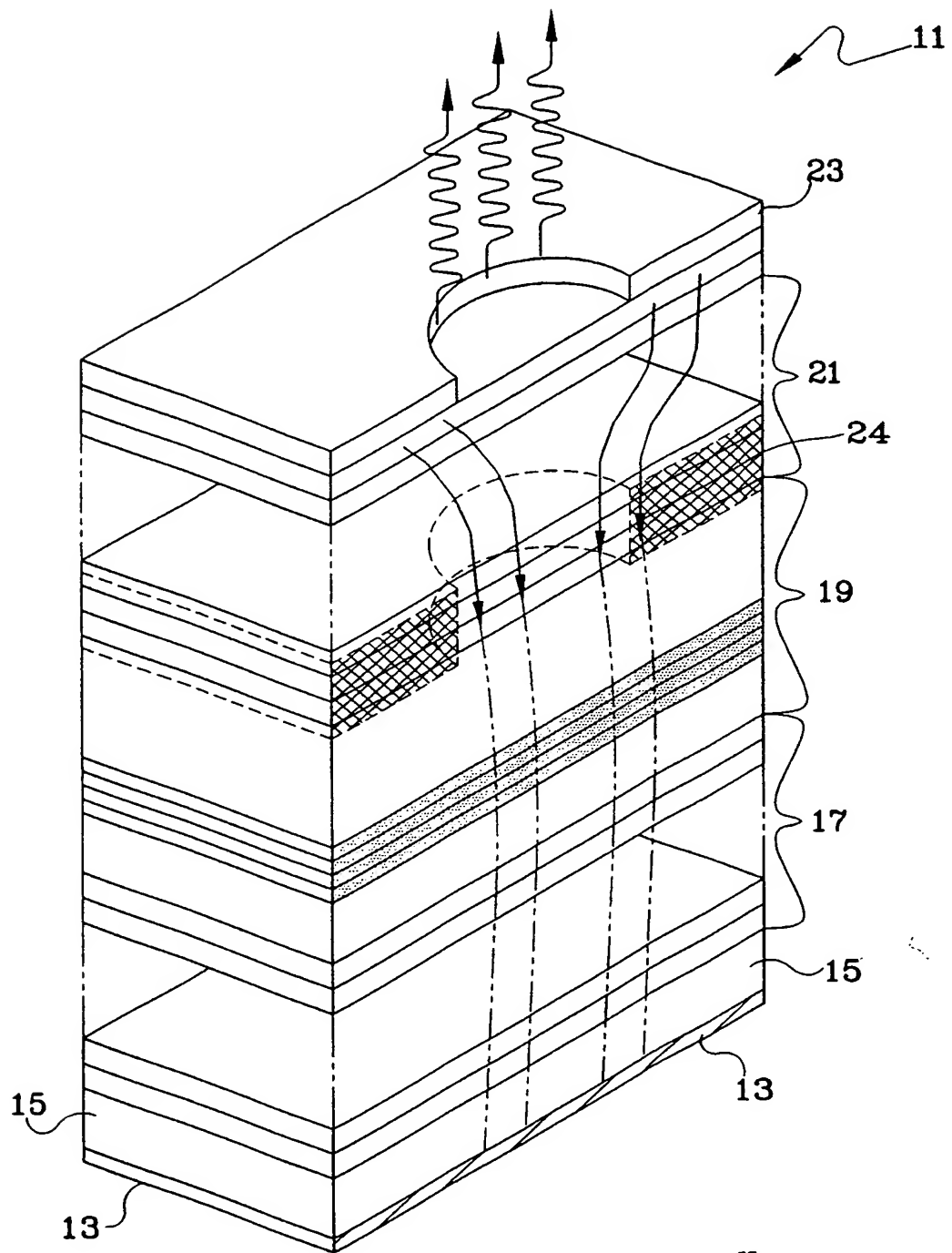
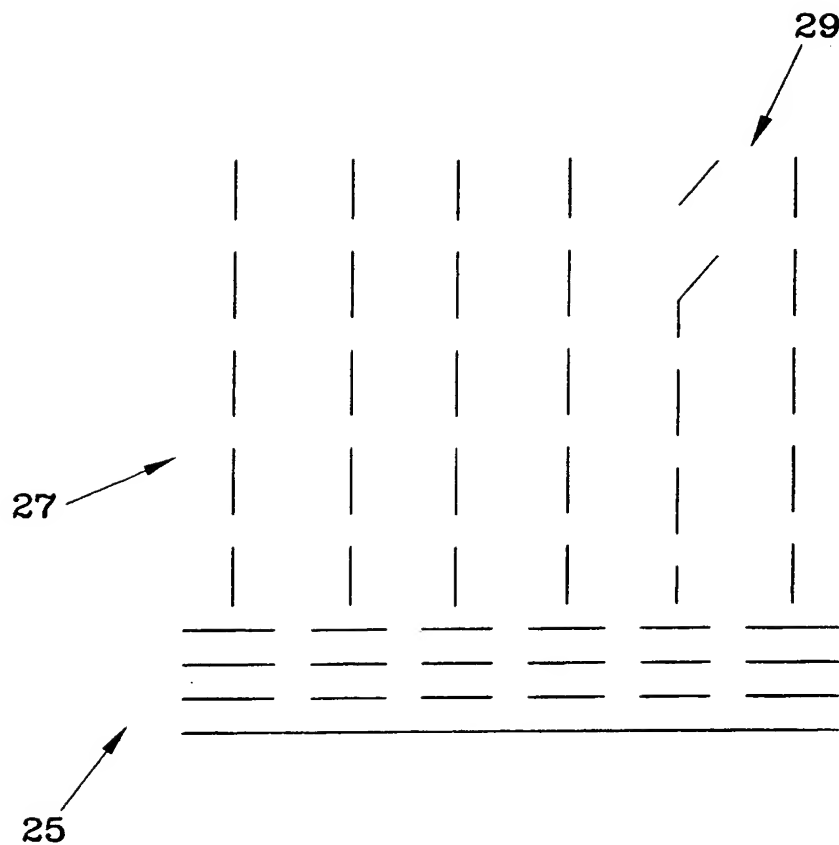
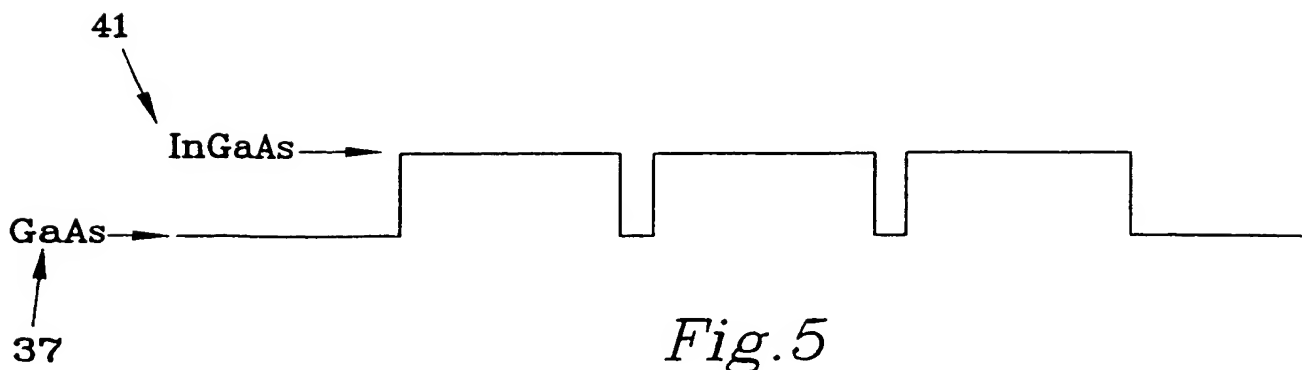
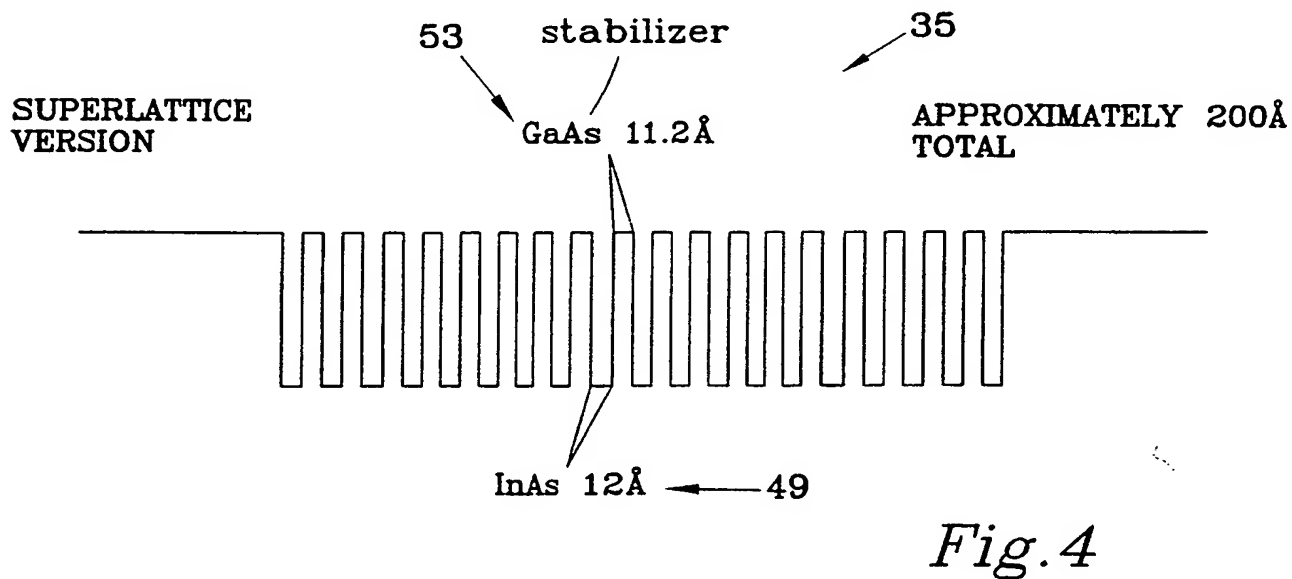
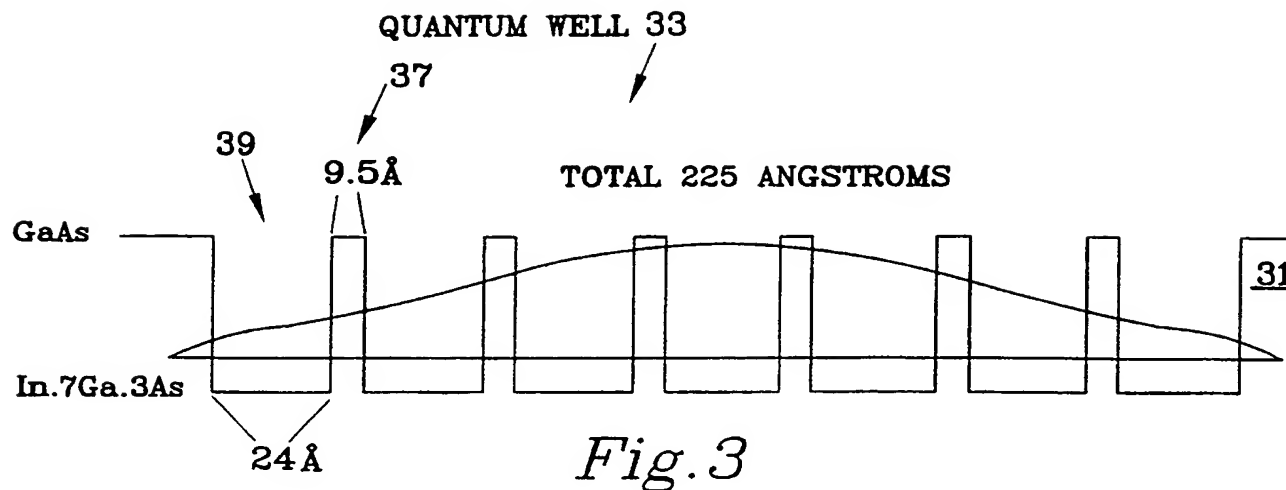


Fig.1

2/3

*Fig. 2*

3/3



INTERNATIONAL SEARCH REPORT

Intern application No

PCT/US 99/26496

A. CLASSIFICATION OF SUBJECT MATTER
 IPC 7 H01S5/34 H01L33/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H01S

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 606 821 A (IBM) 20 July 1994 (1994-07-20) the whole document	1,3,5,7
A	SOHN H ET AL: "A NEW APPROACH TO GROW STRAIN-FREE GAAS ON SI" MATERIALS RESEARCH SOCIETY SYMPOSIUM PROCEEDINGS, 1 January 1991 (1991-01-01), XP000578836 figure 4	1,3,5,7
A	US 5 719 895 A (JEWELL JACK L ET AL) 17 February 1998 (1998-02-17) column 22, line 36-55; figures 8,9 column 34, line 39 -column 35, line 67	1,3,5,7
	—/ —	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

13 March 2000

Date of mailing of the international search report

20/03/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
 NL - 2280 HV Rijswijk
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
 Fac. (+31-70) 340-3018

Authorized officer

Claessen, L

INTERNATIONAL SEARCH REPORT

Intern. Application No.

PCT/US 99/26496

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 559 818 A (SHONO MASAYUKI ET AL) 24 September 1996 (1996-09-24) abstract; figure 3	1, 3, 5, 7
A	PATENT ABSTRACTS OF JAPAN vol. 1996, no. 09, 30 September 1996 (1996-09-30) -& JP 08 139404 A (NEC CORP), 31 May 1996 (1996-05-31) figures 1, 2, 4	1, 3, 5, 7

INTERNATIONAL SEARCH REPORT

information on patent family members

Intern application No

PCT/US 99/26496

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 0606821 A	20-07-1994	JP 2534452 B JP 6237049 A US 5373166 A	18-09-1996 23-08-1994 13-12-1994
US 5719895 A	17-02-1998	AU 4588597 A WO 9813879 A	17-04-1998 02-04-1998
US 5559818 A	24-09-1996	JP 7312465 A JP 11330636 A	28-11-1995 30-11-1999
JP 08139404 A	31-05-1996	JP 2661563 B	08-10-1997

